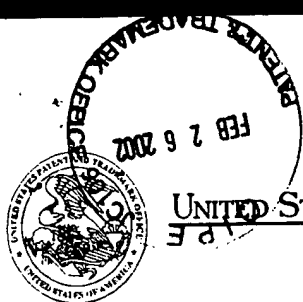


#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,057	10/12/2001	Dean R. Duffy	56631USA002

CONFIRMATION NO. 1817

## FORMALITIES LETTER



\*OC000000007140374\*

Bill Bond  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
PO Box 33427  
St. Paul, MN 55133-3427

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 11/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.  
    ■ \$36 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 906.**

03/04/2002 MAHME1 00000193 133723 09977057

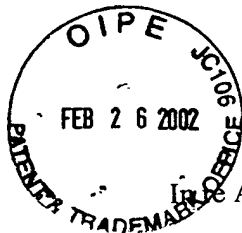
01 FC:101	740.00 CH
02 FC:103	36.00 CH
03 FC:105	130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

PATENT

Inventor Application of: Dean R. DUFFY

Docket No.: 56631US002

Serial No.: 09/977,057

**COPY OF PAPERS  
ORIGINALLY FILED**

Examiner: Unknown

Filed: October 12, 2001

Group Art Unit: Unassigned

For: INTERCONNECTED FILTER FRAME AND FILTER FRAMING METHOD

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 17<sup>th</sup> day of December, 2001

Cheryl L. Schmitz  
Cheryl L. Schmitz

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application mailed on November 30, 2001. Part 2 of this form is enclosed.

No original declaration or oath was filed. An original declaration for this application is enclosed. Enclosed is an executed Declaration, Power of Attorney and Petition.

Please charge \$130 for the surcharge fee as provided in 37 CFR §1.16(e) to Deposit Account No. 13-3723. Also, please charge the application filing fee of \$740, as well as the \$36 for the total claims over 20 that was not paid at the time of filing. Any additional fees should be charged to or credit any overpayment to Deposit Account No. 13-3723. A copy of this sheet marked DUPLICATE is also enclosed.

Respectfully submitted,

December 17, 2001  
Date

William J. Bond  
Reg. No. 32,400

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Telephone: (651) 736-4790  
Facsimile: (651) 736-3833